

IFW

TRĂNSMITTAL FORM			Application No.	10/797,364		
		Filing Date	March 10, 2004			
(to be used for all correspondence after initial filing)		First Named Inventor	Pieter Kruit			
		Art Unit				
	Examiner Name					
Total Number of Pages in This Submission 11 Attorney Docket Number 3531P014						
	ENCLO	SURES (chec	ck all that apply)			
Fee Transmittal	Form	Drawing(s))	After Allowance Communication to Group		
Fee Attach	ned -	Licensing-r	elated Papers	Appeal Communication to Board of Appeals and Interferences		
Amendment / Re	sponse	Petition		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
After Fina Affidavits/	l declaration(s)	Petition to O Provisional	Convert a Application	Proprietary Information		
Extension of Tim	e Request	Power of A Change of	ttorney, Revocation Correspondence Address	Status Letter		
Express Abandon	nment Request	Terminal C	Disclaimer	Other Enclosure(s) (please identify below):		
Information Disclosure Statement Reque		Request for	Refund	International Search Report; 2 prior art references; return		
		CD, Numb	er of CD(s)	postcard		
Certified Copy of Document(s)	Certified Copy of Priority Document(s)					
Response to Mis Incomplete Appli	ssing Parts/ cation	Remarks	T			
Basic	Filing Fee					
	aration/POA		v .			
Response Parts und 1.52 or 1.5	e to Missing er 37 CFR 53					
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT					
Firm or	Life 5. Hyman, Reg. 140. 50,157					
Individual name BLAKELY, SOKOLOFF,		TAYLOR & ZAFI	MAN LLP			
Signature						
Date () 4/(4/05						
CERTIFICATE OF MAILING/TRANSMISSION						
I hereby certify that this postage as first class m	I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.					
Typed or printed na						
Signature	Magle	80		Date (1-15-05		

Complete if Known FEE TRANSMIT/TA Application Number 10/797,364 for FY 2005 APR 1 9 2005 Filing Date March 10, 2004 First Named Inventor Patent fees are subject to annual revis Pieter Kruit **Examiner Name** Applicant claims small entity status. See 3 SFR 1.27. Art Unit TOTAL AMOUNT OF PAYMENT Attorney Docket No. 3531P014 METHOD OF PAYMENT (check all that apply) ☐ Check ☐ Credit card ☐ Money Order ☐ None Other (please identify): Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee ☐ Charge fee(s) indicated below Charge any additional fee(s) or underpayment of fee(s) ☐ Credit any overpayments under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20. **FEE CALCULATION** Small Entity Large Entity Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$) 2051 1051 130 65 Surcharge - late filing fee or oath 2052 1052 50 ²⁵ Surcharge - late provisional filing fee or cover sheet. 2053 130 2053 130 Non-English specification 1251 120 2251 60 Extension for reply within first month 1252 450 2252 225 Extension for reply within second month 1253 1,020 2253 510 Extension for reply within third month 1254 1,590 2254 795 Extension for reply within fourth month 1255 2,160 2255 1,080 Extension for reply within fifth month 1401 500 2401 ²⁵⁰ Notice of Appeal 500 2402 1402 ²⁵⁰ Filing a brief in support of an appeal 1403 1.000 2403 500 Request for oral hearing 1451 1.510 2451 1,510 Petition to institute a public use proceeding 1460 130 2460 ¹³⁰ Petitions to the Commissioner 1807 1807 50 ⁵⁰ Processing fee under 37 CFR 1.17(g) 1806 180 1806 180 Submission of Information Disclosure Stmt 1809 790 1809 395 Filing a submission after final rejection (37 CFR § 1.129(a))

SUBMITTED E	3Y				Com	plete (if applicable)
Name (Print/Type)	Eric S. Hyman		Registration No. (Attorney/Agent)	30,139	Telephone	(310) 207-3800
Signature		>//			Date	91400

395 For each additional invention to be examined (37 CFR § 1.129(b))

SUBTOTAL (2)

(\$)

Based on PTO/SB/17 (12-04) as modified by Blakely, Solokoff, Taylor & Zafman (wir.) 12/15/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

2810

1810

790

Other fee (specify)

Docket No.: 3531P014



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the Application of:

PIETER KRUIT

Application No.: 10/797,364

Filed: March 10, 2004

For: Apparatus for Generating a Plurality of

Beamlets

Art Group:

Examiner:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The references were cited in a Search Report dated January 18, 2005 (copy enclosed herewith) in a counterpart International application, which was forwarded to Applicant's Representative in a communication dated February 2, 2005.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Date:	c/c	4/04

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Eric S. Hyman, Reg. No. 30,139

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (310) 207-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Melissa Stead

Date

-2- 3531P014

OIPE
Substitute for form 1449A/PTO SAPR 1 9 2005 INFORMATION DISCLOSURE
STATEMENT BY APRLICANT (use as many sheets as necessary)
(use as many sneets as necessary)

1

Sheet

2

of

Complete if Known				
Application Number	10/797,364			
Filing Date	March 10, 2004			
First Named Inventor	Pieter Kruit			
Art Unit				
Examiner Name				
Attorney Docket Number	3531P014			

			U.S. PATE	ENT DOCUMENTS	
Examiner Initials*	Cite No.¹	Document Number Number - Kind Code ² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-4996441	02-26-1991	Lischke Burkard	
		US-5483074	01-09-1996	True Richard	
		US-6124599	09-26-2000	Muraki Masato	
		US-	-		
		US-			
		US-	- 1-20		
		US-			

		FOF	REIGN PATEN	T DOCUMENTS	_	
Examiner	Cite	Foreign Patent Document	2.15-15-2-1	-	Pages, Columns, Lines,	Τ.
Initials*	No.1	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
						-
						-
						ļ

Examiner	Da	ite
Signature	Co	onsidered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (MPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wir) 08/11/2003.

Complete if Known Substitute for form 1449A/PTO Application Number 10/797,364 **INFORMATION DISCLOSURE** March 10, 2004 Filing Date STATEMENT BY APPLICANT Pieter Kruit First Named Inventor Art Unit (use as many sheets as necessary) Examiner Name 2 2 **Sheet** of Attorney Docket Number 3531P014

	NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²		
		Roelofs B J GM et al., "Feasibility of Multi-Beam Electron Lithography", Microelectric engineering, Elsevier Publishers BV, Amsterdam, NL, vol. 2, no. 4, Dec. 1984, pgs 259-279			
·		Ando M et al., "Experimental Study of Multiple Ion Beam Machine", Microelectronic Engineering, Elsevier Publishers BV, Amsterdam, NL, vol. 11, no. 1, pgs 431-434			
	•				
		·			
-					

Examiner	Date
Signature	Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

¹Applicant's unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.